| FACTS | WHAT DOES IMA WEALTH, INC.<br>DO WITH YOUR PERSONAL INFORMATION? |
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| **Why?** | Financial companies choose how they share your personal information. Federal law gives consumers the right to limit some however not all sharing. Federal law also required us to tell you how we collect, share, and protect your personal information. Please read this notice carefully to understand what we do. |
| **What?** | The types of personal information we collect and share depend on the product or service you have with us. This information can include:<br>▪ Name, address, and contact information<br>▪ Social Security number and/or driver's license, passport, or other government ID number<br>▪ Employment information, income, account balance and transaction history<br>▪ Risk tolerance and investment experience<br><br>When you are *no longer* our customer, we continue to share your information as described in this notice. |
| **How?** | All financial companies need to share customers' personal information to run their everyday business. In the section below, we list the reasons financial companies can share their customers' personal information; the reasons IMA Wealth, Inc. chooses to share; and whether you can limit this sharing. |

| Reasons we can share your personal information | Does IMA Wealth, Inc. share? | Can you limit this sharing? |
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| **For our everyday business purposes –**<br>**such as to process your transactions, maintain your account(s), respond to court orders and legal investigations, or report to credit bureaus** | Yes | No |
| **For our marketing purposes –**<br>**to offer our products and services to you** | Yes | No |
| **For clients who elect to use a securities litigation class action filing service we make available through a non-affiliated third-party provider** | Yes | Yes |
| **For joint marketing with other financial companies** | No | Not Shared |
| **For our affiliates' everyday business purposes – information about your transactions and experiences** | No | Not Shared |
| **For our affiliates' everyday business purposes – information about your creditworthiness** | No | Not Shared |
| **For our affiliates to market to you** | No | Not Shared |
| **For non-affiliates to market to you** | No | Not Shared |

| Who we are | |
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| **Who is providing this notice?** | IMA Wealth, Inc. is registered with the Securities and Exchange Commission (SEC) as both a registered investment advisor and broker-dealer. IMA, Wealth, Inc. is also a member Financial Industry Regulatory Authority (FINRA) and an insurance agency. |

| What we do | |
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| **How does IMA Wealth protect my personal information?** | To protect your personal information from unauthorized access and use, we use security measures that comply with federal law. These measures include computer safeguards and secured files and buildings. When we share information with third parties, we hold all such parties to the same or higher standards as we do ourselves regarding the privacy of your information. |
| **How does IMA Wealth collect my personal information?** | We collect your personal information, for example, when you:<br>▪ Open an account or enter into an investment advisory agreement<br>▪ Seek advice about your investments<br>▪ Tell us about your investment or retirement portfolio<br>▪ Complete an application for an insurance product<br><br>We also collect your information from other companies. |
| **Why can't I limit all sharing?** | Federal law gives you the right to limit only:<br>▪ Sharing for affiliates' everyday business purposes – information about your creditworthiness<br>▪ Affiliates from using your information to market to you<br>▪ Sharing for nonaffiliates to market to you<br><br>State laws and individual companies may give you additional rights to limit sharing. |

| Definitions | |
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| **Affiliates** | Companies related by common ownership or control. They can be financial and nonfinancial companies.<br>▪ *IMA Wealth does not share your personal information with our other affiliates.* |
| **Nonaffiliates** | Companies not related by common ownership or control. They can be financial and nonfinancial companies.<br>▪ *IMA Wealth does not share with nonaffiliates so they can market to you.* |
| **Joint marketing** | A formal agreement between nonaffiliated financial companies that together market financial products or services to you.<br>▪ *IMA Wealth does not share for joint marketing purposes.* |

| Questions or Complaints? | Call: (316)266-6574<br>Write: 430 E. Douglas Ave., Suite 400<br>Wichita, KS 67202 |
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